PREAWARD SURVEY OF PROSPECTIVE CONTRACTOR FINANCIAL CAPABILITY

SERIAL NO. *(For surveying activity use)*

OMB No.: **9000-0011**
Expires: 06/30/2013

PROSPECTIVE CONTRACTOR

Public reporting burden for this collection of information is estimated to average 24 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the FAR Secretariat (VRS), Office Federal Acquisition and Regulatory Policy, GSA, Washington, DC 20405; and to the Office of Management and Budget, Paperwork Reduction Project (9000-0011), Washington, DC, 20503.

SECTION I - RECOMMENDATION

1. RECOMMENDED

☐ a. COMPLETE AWARD ☐ b. PARTIAL AWARD (Quantity: _____) ☐ c. NO AWARD

2. TOTAL OFFERED PRICE

3. NARRATIVE *(Cite those sections of the report which substantiate the recommendation. Give any other backup information in this space or on an additional sheet, if necessary.)*

IF CONTINUATION SHEETS ATTACHED - MARK HERE ☐

4. SURVEY MADE BY	a. SIGNATURE	b. TELEPHONE NUMBER *(Include area code)*	c. DATE SIGNED
5. SURVEY REVIEWING OFFICIAL	a. SIGNATURE	b. TELEPHONE NUMBER *(Include area code)*	c. DATE REVIEWED

SECTION II - GENERAL

1. TYPE OF COMPANY

☐ CORPORATION ☐ PARTNERSHIP

☐ SUBSIDIARY ☐ DIVISION

☐ PROPRIETORSHIP ☐ OTHER *(Specify)*

2. YEAR ESTABLISHED:

3. NAME AND ADDRESS OF:

a. PARENT CO.

b. SUBSIDIARIES

SECTION III - BALANCE SHEET/PROFIT AND LOSS STATEMENT

PART A - LATEST BALANCE SHEET

1. DATE	2. FILED WITH

3. FINANCIAL POSITION

a. Cash	$
b. Accounts Receivable	
c. Inventory	
d. Other Current Assets	
e. Total Current Assets	
f. Fixed Assets	
g. Current Liabilities	
h. Long Term Liabilities	
i. Total Liabilities	
j. Net Worth	

4. WORKING CAPITAL *(Current Assets less Current Liabilities)*

5. RATIOS

a. CURRENT ASSETS TO CURRENT LIABILITIES	b. ACID TEST *(Cash, **temporary** investments held in lieu of cash and current **receivables** to current liabilities)*	c. TOTAL LIABILITIES TO NET WORTH

PART B - LATEST PROFIT AND LOSS STATEMENT

1. CURRENT PERIOD a. FROM	1. CURRENT PERIOD b. TO	2. FILED WITH

3. NET SALES	a. CURRENT PERIOD	$
	b. First prior fiscal year	
	c. Second prior fiscal year	
4. NET PROFITS BEFORE TAXES	a. CURRENT PERIOD	$
	b. First prior fiscal year	
	c. Second prior fiscal year	

PART C - OTHER

1. FISCAL YEAR ENDS *(Date)*:

2. BALANCE SHEETS AND PROFIT AND LOSS STATEMENTS HAVE BEEN CERTIFIED ▶ a. THROUGH *(Date)* b. BY *(Signature)*

3. OTHER PERTINENT DATA

SECTION IV - PROSPECTIVE CONTRACTOR'S FINANCIAL ARRANGEMENTS

Mark "X" in appropriate column.	YES	NO
1. USE OF OWN RESOURCES	☐	☐
2. USE OF BANK CREDITS	☐	☐
3. OTHER *(Specify)*	☐	☐

4. INDEPENDENT ANALYSIS OF FINANCIAL POSITION SUPPORTS THE STATEMENTS SHOWN IN ITEMS 1, 2, AND 3

☐ YES ☐ NO *(If "NO", explain)*

SECTION V - GOVERNMENT FINANCIAL AID

1. TO BE REQUESTED IN CONNECTION WITH PERFORMANCE OF PROPOSED CONTRACT

Mark "X" in appropriate column.	YES	NO
a. PROGRESS PAYMENT(S)	☐	☐
b. GUARANTEED LOAN	☐	☐
c. ADVANCE PAYMENTS	☐	☐

2. EXPLAIN ANY "YES" ANSWERS TO ITEMS 1a, b, AND c.

3. FINANCIAL AID CURRENTLY OBTAINED FROM THE GOVERNMENT

a. PROSPECTIVE CONTRACTOR RECEIVES GOVERNMENT FINANCING AT PRESENT	Complete items below only if item a., is marked "YES." b. IS LIQUIDATION CURRENT?	c. AMOUNT OF UNLIQUIDATED PROGRESS PAYMENTS OUTSTANDING	DOLLAR AMOUNTS	(a) AUTHORIZED	(b) IN USE
☐ YES ☐ NO	☐ YES ☐ NO	$	a. Guaranteed loans	$	$
			b. Advance payments	$	$

4. LIST THE GOVERNMENT AGENCIES INVOLVED	5. SHOW THE APPLICABLE CONTRACT NOS.

SECTION VI - BUSINESS AND FINANCIAL REPUTATION

1. COMMENTS OF PROSPECTIVE CONTRACTOR'S BANK

2. COMMENTS OF TRADE CREDITORS

3. COMMENTS AND REPORTS OF COMMERCIAL FINANCIAL SERVICES AND CREDIT ORGANIZATIONS *(Such as, Dun & Bradstreet, Standard and Poor, etc.)*

4. MOST RECENT CREDIT RATING	a. DATE	b. BY

5. DOES PRICE APPEAR UNREALISTICALLY LOW? ☐ YES ☐ NO *(If Yes, explain in Section I NARRATIVE)*

6. DESCRIBE ANY OUTSTANDING LIENS OR JUDGEMENTS

SECTION VII - SALES (000'S) FOR NEXT SIX QUARTERS

CATEGORY	1	2	3	4	5	6	TOTAL
1. CURRENT CONTRACT SALES (Backlog)	$	$	$	$	$	$	$
A. GOVERNMENT (Prime & Subcontractor)							
B. COMMERCIAL							
2. ANTICIPATED ADDITIONAL SALES							
A. GOVERNMENT (Prime & Subcontractor)							
B. COMMERCIAL							
3. TOTALS							